

09/26/00

JC912 U.S. PTO

09-2700

PTO/SB/05 (4-98)

Approved for use through 09/30/2000. OMB 0651-0032

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b).)

Attorney Docket No.

SD-8289

First Inventor or Application Identifier

Hasselbrink

Title

Method and Apparatus for Controlling Cross Contamination of Microfluid Channels

Express Mail Label No.

EL177882843US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ *Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and duplicate for fee processing)
2. ☒ Specification [Total Pages **21**]
(preferred arrangement set forth below)
- Descriptive title of the Invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to Microfiche Appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
3. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets **4**]
4. ☐ Oath or Declaration [Total Pages
- a. ☐ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. § 1.63(d))
(for continuation/divisional with Box 16 completed)
- i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b).

NOTE FOR ITEMS 1 & 13. IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28)

ADDRESS TO:Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

5. ☐ Microfiche Computer Program (Appendix)
6. ☐ Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
- a. ☐ Computer Readable Copy
- b. ☐ Paper Copy (identical to computer copy)
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & document(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
9. ☐ English Translation Document (if applicable) ☐ Power of Attorney
10. ☒ Information Disclosure Statement (IDS)/PTO-1449
11. ☐ Preliminary Amendment ☐ Copies of IDS Citations
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☐ *Small Entity Statement(s) ☐ Statement filed in prior application, Status still proper and desired (PTO/SB/09/12)
14. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
15. ☐ Other: _____

16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: _____/_____

Prior application information: Examiner _____

Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORESPONDENCE ADDRESS☒ Customer Number or Bar Code Label

(Insert)

or ☐ Correspondence address below

Name

Address

City

Country

PATENT AND TRADEMARK OFFICE

Telephone

Zip Code

Fax

Name (Print/Type)

Timothy P. Evans

Registration No. (Attorney/Agent)

41,013

Signature

Date

9/26/00

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL
FOR FY 2000**

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement.
Otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$930.00)**Complete if Known**

Application Number	Not Assigned
Filing Date	09/26/00
First Named Inventor	Hasselbrink
Examiner Name	
Group / Art Unit	
Attorney Docket No.	SD-8289

METHOD OF PAYMENT (check one)

The Commissioner is hereby authorized to charge
1. ☒ Indicated fees and credit any over payments to:

Deposit Account Number **50-0583**Deposit Account Name **SNL by KCO**

☐ Charge Any Additional
Fee Required Under
37 CFR 1.16 and 1.17

2. **Payment Enclosed:**

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION**1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	690	201	345	Utility filing fee	690
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) **\$ 690.00****2. EXTRA CLAIM FEES**

Extra Claims		Fee from below	Fee Paid
Total Claims	29	-20**= 9 X 18 =	162
Independent Claims	4	- 3**= 1 X 78 =	78
Multiple Dependent		260 =	0

**or number previously paid, if greater; For Reissues, see below.

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	**Reissue independent claims over original patent
110	18	210	9	**Reissue claims in excess of 20 and over original patent

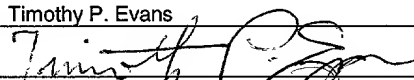
SUBTOTAL (2) **(\$240.00)****FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840	11	1,840	Requesting publication of SIR after Examiner	
115	110	215	55	Extension for reply within first month	
116	380	216	90	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility issue fee (or reissue)	
143	430	243	215	Design issue fee	
144	580	244	290	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	690	246	345	Filing a submission after final refection (37 CFR 1.129(a))	
149	690	249	345	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)					
Other fee (specify)					

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) **(\$)****SUBMITTED BY**Typed or Printed Name **Timothy P. Evans**

Signature

Date **9/26/00****Complete (if applicable)**Reg. Number **41,013**

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Attorney Docket No: SD-8289

METHOD AND APPARATUS FOR CONTROLLING CROSS
CONTAMINATION OF MICROFLUID CHANNELS

Inventors: ERNEST F. HASSELBRINK

JASON E. REHM

PHILLIP H. PAUL

DONALD W. ARNOLD

I hereby certify that this New Application Transmittal and the documents referred to as enclosed therein are being deposited with the U. S. Postal Service on September 26, 2000 in an envelope as "Express Mail Post Office to Addressee" Mailing Label Number EL177882843US, addressed to: Box Patent Application, Assistant Commissioner for Patents, Washington, DC 20231.

Date of Deposit: 9/26/00

Diane Gottwald
Person Making Deposit

Elaine Gottwald
Signature

Express Mail Mailing Label No. EL177882843US

**METHOD AND APPARATUS FOR CONTROLLING CROSS
CONTAMINATION OF MICROFLUID CHANNELS**

CROSS-REFERENCE TO RELATED APPLICATIONS

5 Not applicable.

STATEMENT OF GOVERNMENT INTEREST

This invention was made with Government support under contract no.
DE - AC04 - 94AL85000 awarded by the U. S. Department of Energy to
Sandia Corporation. The Government has certain rights in the invention.

10 BACKGROUND OF THE INVENTION

This invention pertains to a method for injecting well-defined volumes
of fluid from one channel into another at their junction in microscale devices
to control cross contamination of the channels of microfluidic devices. Fluid
control is accomplished generally by providing increased resistance to electric-
15 field and pressure-driven flow in the form of a region of reduced effective
cross-sectional area within the microchannels. The invention further relates to
microscale devices employing these methods.

Microchannel devices are finding increasing application for separation,
identification, and synthesis of a wide range of chemical and biological
20 materials. These devices, whose channel dimensions typically range from a
few microns to about one millimeter, permit miniaturization and integration of
chemical and biological processes in a manner analogous to that already
achieved in microelectronics. Applications for these microchannel devices
include DNA sequencing, immunochromatography, analysis and identification
25 of explosives, chemical and biological warfare agents, and synthesis of

chemicals and drugs.

Microfluidic devices typically consist of two or more grooves, or microchannels, and chambers etched or molded in a substrate that can be silicon, plastic, quartz, glass, or plastic. The size, shape and complexity of these microchannels, their interconnections, and interactions influence the limits functionality and capabilities of a microsystem. In turn, the size, shape and complexity of microchannels and structures that can be used in microfluidic systems depend on the materials used and the fabrication processes available for those materials. Typical system fabrication includes making trenches in a conducting material (silicon) or in a non-conducting substrate (e.g., glass or plastic) and converting them to channels by bonding a cover plate to the substrate. The typical overall channel sizes range from about 5-100 μm wide and 5-100 μm deep.

Despite the substantial promise of these microscale systems, there have been significant drawbacks experienced in their application typically involving reduction in resolution over comparable benchscale methods. One problem that has been recognized involves sample dispersion associated with variation in fluid speed associated with fluid moving along curves or turns in the microchannel flow system. This dispersive effect arises because the fluid moving along the outer radius of a turn must travel further than that moving along the inner radius causing an otherwise flat interface or species band to be skewed. This effect is particularly pronounced in the presence of an electric field gradient, such as would be encountered during electroosmotic flow, which is greater along the shorter inner radius resulting in greater fluid speed along the shorter inner radius path.

As summarized in U.S. Patent Application Serial No. 09/299,269 filed April 26, 1999, entitled "Method and Apparatus for Reducing Sample Dispersion in Turns and Junctions of Microchannel Systems" and assigned to the same assignee, different approaches have been used to minimize the

5 dispersive effect induced by the presence of curves and turns. Nordman (U.S. Patent No. 5,833,826) utilizes focusing electrodes to obtain a more uniform electric field and hence, a more uniform flow field. However, this solution introduces increased complexity in fabrication and control of the plurality of electrodes and associated circuitry required for systems having a multitude of

10 turns. Kopf-Still (U.S. Patent No. 5,842,787) seeks to reduce dispersion in turns by means of channel geometries having small aspect ratios, wherein the channel depths are much greater than their widths. The smaller channel width helps reduce the difference in transit time along the inner and outer walls of a turn, thereby reducing dispersion. Dispersion can also be reduced by

15 fabricating turns having a depth along the inner radius that is greater than that along the outer radius. This approach to reducing turn-induced dispersion would substantially increase costs since most conventional lithographic processes are designed to produce channels having a uniform cross-section.

While these approaches provide methods for reducing dispersion in a

20 fluid sample as the fluid sample flows around curves or turns in the microchannel, they fail to address an even more fundamental problem associated with fluid flow in microchannel systems, uncontrolled fluid flow (leakage) during the operation of injecting a sample of fluid across a junction.

Numerous methods can be implemented for the transport of fluid and

25 species (charged or uncharged) in microfluidic channels. These include:

electroosmosis, electrophoresis, pressure-driven convection, diffusion, or any combination thereof. When these methods are used (alone or in combination) to inject a sample of fluid across a microfluid junction (for example, two channels intersecting in a cross), uncontrolled fluid flow resulting in significant leakage of excess injected fluid can occur. This leakage impedes the capability to inject the controlled volume of fluid (or mixture of fluids) from one stream into another stream such as would be required for accurate analysis or controlled reactions.

Figures 1a-1c show an example of this leakage using a typical injection device: a cross **100** with the fluid transported by electroosmosis. A dye has been added to the fluid in order to follow the path of fluid flow more easily. The fluid from which a sample is to be extracted flows in horizontal microchannel **110** under the influence of a potential gradient. When a sample is to be taken from the fluid stream, microchannel **110** is left electrically floating and a potential gradient is applied to vertical microchannel **120** for a brief period of time, in order to inject a small sample of the fluid into microchannel **120**. As indicated by the pattern of trailing dye (Figs. 1b and 1c) fluid continues to flow (leak) into microchannel **120** after the potential gradient has ceased to be applied. Electroosmotic-driven fluid flow is a 'potential flow' which means that fluid flow follows the paths traced by the streamlines of the electric field. Leakage occurs in this injection scheme because fluid streamlines, which correspond to electric field lines in electroosmotic-driven flow, enter the electrically floating channel. This phenomenon is graphically illustrated in Fig. 2 which shows the electric field lines at the intersection between channel **110** having an electric field contained

therein and one that is floating **120**. It can be seen that the electric field lines intrude a significant distance into the floating channel. This intrusion of electric field lines into the electrically floating channel not only explains the “leakage” shown in Figs. 1b and 1c but also explains why the sample fluid is
5 observed to enter microchannel **120** prior to application of a potential gradient to that microchannel (fig. 1a).

The problem of leakage in injection devices has been recognized and means for mitigating this problem have been proposed. Ramsey in U.S. Patent No. 5,858,195 and Published PCT Application No. WO96/04547 and
10 Parce in U.S. Patent No. 5,885,470 employed a scheme called “controlled electrokinetic material transport”, to control cross-channel leakage in microchannel systems and particularly in arrangements of integrated microchannels. In this scheme separate electric potentials are applied across the various microchannels. However, these methods require careful control of
15 multiple electrical power sources as well as *a priori* knowledge of the conductive properties of all fluids in all channels to determine the required voltages. Furthermore the method is susceptible to disruption due to variations in fluid compositions, hydrostatic pressure-driven interferences, and diffusion effects, all of which may degrade the quality or purity of the
20 injected sample.

SUMMARY OF THE INVENTION

Accordingly, the present invention generally provides method and apparatus for reducing or substantially eliminating channel cross-contamination, due to electric field streamlines entering the floating channel,
25 hydrostatic pressure effects, and mass diffusion, during microfluidic sample

injections. Moreover, the successful application of the invention requires neither prior knowledge of the conductive properties of all fluids nor is the method is susceptible to disruption due to variations in fluid compositions, hydrostatic pressure-driven interferences, and diffusion effects. The apparatus generally incorporate a reduction of the cross-sectional area of channels in proximity to the intersection. In this way the deleterious dispersive effects of electric field leakage, diffusion, and any pressure gradients that might be present in the system during sample introduction and injection, are substantially eliminated. A non-orthogonal intersection microchannel geometry can also be used in conjunction with reduction in cross-sectional area to reduce the leakage of electric field lines away from the intersection during sample injection. The method for eliminating electric field induced dispersion described herein also provide a number of other benefits for the control of fluid and material in the presence of pressure gradients and mass diffusion. Moreover, in contrast to prior art systems, the present devices eliminate the need for extraneous control voltages or pressures.

BRIEF DESCRIPTION OF THE DRAWINGS

The accompanying drawings, which are incorporated in and form part of the specification, illustrate the present invention and, together with the description, explain the invention. In the drawings like elements are referred to by like numbers.

Figures 1a-1c show sequential images of an injection at a right cross junction.

Figure 2 shows distribution of electric field lines at a right cross junction having channels with equal cross-sectional areas.

Figure 4 shows a resistor network model of a right cross junction.

Fig. 5

10 DETAILED DESCRIPTION OF THE INVENTION

For the purpose illustrating and exemplifying the present invention, consider a standard geometry presently used in the art, as shown in Figure 3: two microchannels **310** and **315**, having widths W and depths D , that
20 intersect at a junction in a right cross. The widths and depths of the microchannels are typically in the range of 0.1 micron to 1 millimeter. The invention is not limited to this geometry and can apply equally to any number of microchannels of any widths and depths or any arbitrary cross-section, either straight or curved, at intersections of any arbitrary angles. It should be
25 noted that throughout the description of the invention the terms “channel” and

“microchannel” will be used synonymously and interchangeably. Further in accordance with the present invention, channel intersections can exist in a number of configurations including right cross intersections, “T” intersections, “Y” intersections, double “Y” intersections, or any number of other possible configurations in which any two channels are in fluid communication. The term “effective cross-sectional area” represents that channel area that produces an increase in resistance to either electric-field or pressure-driven flow and can be equal to the geometric cross-sectional area. The invention also applies to cases where the ends of the channels are at junctions between other channels. Reservoirs for facilitating fluid or material introduction into the channels can be incorporated into the microchannel structure. In addition to providing means for introduction of fluids, reservoirs can provide entry to the microchannels where electrodes can be placed into contact with fluids within the device, allowing application of electric fields along the channels to control and direct fluid transport. An electric potential can be applied across some length of the microchannels by any method, including: (1) electrodes placed within the channels, (2) electrodes within fluid reservoirs at some point along or at the ends of the channels, or (3) through salt bridges (defined herein as devices which allow the flow of ionic current but greatly restrict fluid transport) connected to the channels or reservoirs.

While the structure and function of the invention will be described and illustrated in relation to the microchannels and arrangements thereof it is understood that the microchannels themselves are part of a microfluidic device that typically comprises an aggregation of two or more separate layers mated

or joined together. Typically, these layers comprise a top portion that can have holes or ports to provide access to the channels and reservoirs, and a bottom portion, upon which the bottom portion is fabricated to define the channels and reservoirs of the device.

5 Referring again to Fig. 3, a section of each of microchannels **310** and **315** of length L , proximate the junction, has a Region of Reduced Effective Cross-sectional Area (hereinafter called a RORECA) as indicated by the shaded region produced by reducing the internal dimensions of the channel. In the alternative, reduction in effective cross-sectional area can be produced by

10 filling the channel with porous material, packing the channel with structured particles (porous or nonporous) fabricated by art recognized methods such as, lithographic patterning and etching to create arrays of structures in the microchannel or channels of varying dimension, by lithographic patterning and subsequent etching to create channels and then subsequent lithographic

15 patterning and material deposition or regrowth to partially refill the channels, direct injection molding, *in-situ* polymerization, sol-gel processes, high energy lithography combined with electroforming and molding (LIGA), and hot or cold embossing. The depth (D) and width (W) of the intersection or junction is nominally, but not necessarily, the same as that of the channels

20 away from the RORECA. Although in this example all intersecting channels are shown with a RORECA, it is not always necessary that all channels have a RORECA. Also, we note that the entire channel can be considered a RORECA if the effective area of the channel is less than the cross-sectional area of the intersection. The important point is that the area of the junction or

25 intersection is larger than the reduced effective area of the intersecting

channels at that junction. By narrowing only the area of the channel(s) in proximity to the intersection, the RORECA design has the additional advantage that the total resistance of the channel is only slightly affected, i.e., the total fluid flowrate through the channel is substantially the same as in a channel without RORECA.

When considering a fluid transported by the action of an electric field, such as by electroosmosis, the effect of providing a microchannel with a region of reduced cross section is to increase the apparent electrical resistance of that region of the microchannel. The resistance of the above-described intersection illustrated in Fig. 3 can be modeled by a network of resistors as shown in Fig. 4. Here, the junction is composed of elements of resistance R and the intersecting channels have a resistance R' in the region of reduced cross-sectional area (shaded area) and resistance R in the normal channel. The resistance of a fluid element is equal to its length divided by the product of fluid conductivity and cross-sectional area of the channel (divided by two for the sections represented by resistors in parallel). If an electric potential is applied along channel **315** (from point A to point C) and channel **310** (from points B and D) is unpowered (floated), the current in channel **310** must be a small fraction of the value in channel **315** in order to reduce unwanted fluid flow into the unpowered channel (**310**). Calculations of the electric field based on the resistor model shown in Fig. 3, show that the electric field in the unpowered channel (**310**) drops to about 4% of the field in channel **315** when L equals one channel width. The electric field drops to about 0.2% of the powered channel when $L=2W$, and drops to about 0.03% when $L=3W$. Hence nearly all the benefit is obtained in the first 3-4 channel widths; in fact, about

96% of the benefit is obtained in the first width! Note that the magnitude of the benefit, however, is largely governed by the area ratio.

The reduction in effective cross-sectional area in regions surrounding the junction in this example has three advantages: 1) fluid leakage from the channels having an imposed electric field into the floating (having no or a very small imposed electric field) channels (such as that illustrated in Fig. 1) is significantly reduced or eliminated, thereby eliminating cross-contamination of channels by electric field-induced convection; 2) the reduction of interfacial area reduces mass diffusion into and out of the junction from the surrounding channels, thereby reducing cross-contamination of fluid in the channels by mass diffusion; 3) the area reduction reduces any flow through/into/out of the junction due to pressure gradient-driven flows, which can be generated by external or internal pressure sources. These advantages are accomplished without any additional power sources to bias the junction voltage and the performance of the device is generally unaffected by variations in fluid properties (i.e. changes in pH, conductivity, or fluid species composition).

To further illustrate the modification of the flow field in the junction of two intersecting channels due to RORECA, the electric potential field (the electroosmotic generated flow field) in a variety of microchannel intersection geometries can be computed by numerical analysis. For intersecting channels in a right cross geometry, consider the case of equal channel depth and cross sectional areas throughout the channel lengths. Fluid flow streamlines computed for this case are shown in Fig. 2. Here, a voltage is applied to channel **315** and channel **310** is left floating. Clearly, fluid flow from channel **315** penetrates far (over a channel width) into channel **310**, thus

cross-contaminating the fluids of both channels, as discussed above and shown in Fig. 1a. Using the method described herein to modify the electric potential field at the junction between the two channels, i.e., reducing the effective cross sectional area in the region of the junction, leakage, unwanted flow of fluid from one channel to another, can be substantially eliminated. If, for example, the cross sectional area of one section (B) of channel **310** is made smaller by a factor of two the flow streamlines are modified in a way illustrated by Fig. 5. Decreasing the cross-sectional area by only a factor of two significantly inhibits fluid flow into unpowered channel **310** due to the increase in channel resistance. Similar results can be shown for cases where the effective cross-section area in the unpowered channels is reduced by the insertion of porous material, packing with particles, posts, columns, or some other geometry in such a way so as to reduce the effective cross sectional area of the channel. Although the examples above were for cases where a single channel had regions of reduced cross sectional area, this invention applies equally to cases where a plurality of channels have regions of reduced cross-sectional area. For cases where the powered channels have a RORECA, the electroosmotic flowrate through the powered channel is unaffected by the presence of the area change within the channel.

Figs. 1a-1c demonstrated the dispersion and leakage of an injection using a single power source in a microchannel of uniform cross-sectional area. Figs. 6a-6c show the reduction in leakage of injected fluid provided by the present invention. Both channels have a RORECA proximate the junction. Applying a potential from points A to C in channel **315**, a dye-marked fluid is transported by electroosmosis from A to C as shown in Fig. 6a. Here, the

cross-sectional areas in the regions of reduced area proximate the cross junction are one-tenth the area of the primary channels ($A' = 1/10 A$).

Immediately obvious in the initial image (Fig. 6a) is the reduction in leakage from channel **315** to **310**. Flooding channel **315** and applying a potential from points D to B in channel **310** then injects the plug of dye-marked fluid into section B (Fig. 6b) by electroosmosis. However, the sample flowing towards B is now well defined and retains the desired 'plug' shape with reduced cross-contamination and leakage between channels, even at much later times (Fig. 6c).

Modifying the channel intersection geometry in conjunction with reducing the area of the channels proximate their junction can also provide marked improvement in sample dispersion. It will be appreciated by those skilled in the art, that it is preferred that the streamlines in the junction effectively sweep out the entire sample volume, without large differences in the times required to traverse the intersection. The inventors have shown that this can be accomplished by means of a non-orthogonal intersection geometry. By way of example, intersecting channels, each in the form of a 'Y', wherein the included angle between the branches of the "Y" is less than ninety degrees is illustrated in Figs. 7a. Further, each of the intersecting channels of the "Y" has a reduction in channel area proximate the channel junction. In this example, the regions of reduced cross-sectional area are created by reducing the channel area by a factor of ten ($A' = 1/10 A$). In Fig. 7a, the sample fluid (dye-marked fluid) is transported along channel **710** by applying an electric potential to channel **710** (A to C), thereby filling junction **720** with sample fluid. Channel **715** is unpowered, i.e., floats. The sample is then injected as

a plug into the B segment of channel 715 by floating channel 710 (A to C) and applying a potential to channel 715 (D to B) (Fig. 7b). The sample is transported as a well-defined plug and leakage from adjoining columns is significantly reduced as compared to injections performed in similar channels without the methods and devices described herein.

In the examples above, the method of the invention was illustrated by reduction of the effective cross-sectional area proximate a cross junction. However, it is contemplated that the region of area reduction can also include multiple regions in a single channel, single regions of multiple channels, or multiple regions of multiple channels. Any number of methods, as set forth above, can accomplish the desired area reduction.

In another aspect of the present invention, the use of RORECA selectively placed in microchannels can also be used to restrict pressure-driven flow to minimize pressure gradient effects so that fluid can be transported by pressure-driven flow through intersecting channels or a series of intersecting channels with minimal cross-contamination.

In this aspect of the invention, consider a right cross channel geometry. Only one channel contains a RORECA and the second channel has uniform cross-sectional area throughout. A pressure applied to the end of the channel having a uniform and unreduced cross-sectional area will cause fluid transport primarily through this channel since fluid flowrate is proportional to the cross sectional area of the channel. Thus, the reduction in cross-sectional area alone will reduce the pressure-driven flowrate into the channel having a reduced cross-sectional area. In addition, reduction of the cross-sectional area has the additional effect of increasing the pressure head losses in the channel having

reduced flow further reducing the flow into that channel. In like manner, reducing the effective cross-sectional area of a channel(s) will also reduce cross-contamination due to hydrostatic pressure gradients such as those caused by uneven fluid height in channel reservoirs or effects of height variations in channels.

In a further aspect of the invention, RORECA can be used to provide for the reduction of mass transport by diffusion. Mass flux due to a concentration gradient (which exists axially through a channel) is proportional to the cross-sectional area of the channel, and the total flux increases with time. The presence of an area of reduced cross-section in a channel reduces the total mass flux to that channel by the ratio of the reduced area cross-section to that of the unmodified channel. Hence the method of the invention, reduced effective cross-sectional area, is especially useful to bound regions where fluids must be held stationary (or only slowly moving), but diffusive transport into or out of the region is undesirable. Examples include reactors (especially where slow reactions mandate long residence times), mixers, manifolds, and reservoirs.

In summary, by providing regions of reduced effective cross-sectional area within at least one channel the method of the present invention can be used to eliminate sample dispersion and channel cross-contamination due to stray electric field lines, hydrostatic pressure effects, and mass diffusion at the junction of two or more channels within an arrangement of intersecting channels or within a single channel.

The foregoing is intended to be illustrative of the present invention and is provided for purposes of clarity and understanding of the principles of this invention. Many other embodiments and modifications can be made by those of skill in the art without departing from the spirit and scope of the invention as defined in the following claims.

CLAIMS

We claim:

1. A microfluidic device for significantly reducing sample dispersion and
5 cross-contamination, comprising:
a microchannel system disposed on a substrate, the microchannel system comprising at least two microchannels joined together to form a junction and means for introducing fluid into the microchannels, wherein at least one of the microchannels has a reduced effective cross-sectional area proximate the
10 junction, and wherein the reduced effective cross-sectional area of the microchannel is less than the cross-sectional area of the junction.
2. The device of claim 1, wherein the reduced effective cross-sectional area extends from the junction into the microchannel a distance of from about 0.5 to 4 channel widths.
- 15 3. The device of claim 1, wherein the reduced effective cross-sectional area comprises a porous material disposed in the microchannel.
4. The device of claim 1, wherein the reduced effective cross-sectional area comprises structures disposed in the microchannel.
5. The device of claim 1, wherein the reduced effective cross-sectional area is
20 about 10% that of the cross-sectional area of the microchannel.
6. The device of claim 1, further including electrodes disposed within said microchannel system.
7. The device of claim 6, wherein the electrodes are connected to the microchannels by means of salt bridges.

8. The device of claim 1, wherein said means for introducing fluid include reservoirs.

9. The device of claim 8, wherein electrodes are disposed in the reservoirs.

10. A device for reducing sample dispersion and cross-contamination,

5 comprising:

a first and a second microchannel disposed on a substrate and intersecting to form a junction, wherein at least one of said first and second microchannels has a region of reduced effective cross-sectional area proximate the junction; and

10 means for introducing fluid into the microchannels.

11. The device of claim 10, wherein the reduced effective cross-sectional area extends from the junction into the microchannel a distance of from about 0.5 to 4 channel widths.

12. The device of claim 10, wherein the reduced effective cross-sectional area comprises a porous material disposed in the microchannel.

13. The device of claim 10, wherein the reduced effective cross-sectional area comprises structures disposed in the microchannel.

14. The device of claim 10, wherein the reduced effective cross-sectional area is about 10% that of the cross-sectional area of the microchannel.

20 15. The device of claim 10, further including electrodes disposed within said microchannel system.

16. The device of claim 15, wherein the electrodes are connected to the microchannels by means of salt bridges.

25 17. The device of claim 10, wherein said means for introducing fluid include reservoirs.

18. The device of claim 17, wherein electrodes are disposed in the reservoirs.

19. A device for eliminating sample dispersion at microchannel junctions, comprising:

a first and a second microchannel "Y" disposed on a substrate and
5 joined at their base to form a junction, wherein at least one of the branches or arms extending from the junction of said first and second microchannel "Y" is provided with a region of reduced effective cross-sectional area proximate the junction; and

means for introducing fluid into the microchannels.

10 20. The device of claim 19, wherein the region of reduced cross-sectional area extends from the junction into the microchannel a distance of from about 0.5 to 4 channel widths.

21. The device of claim 19, wherein the reduced effective cross-sectional area comprises a porous material disposed in the microchannel.

15 22. The device of claim 19, wherein the reduced effective cross-sectional area comprises structures disposed in the microchannel.

23. The device of claim 19, wherein the reduced effective cross-sectional area is about 10% that of the cross-sectional area of the microchannel..

20 24. The device of claim 19, further including electrodes disposed within the microchannels.

25. The device of claim 19, wherein the electrodes connected to the microchannels by means of salt bridges.

26. The device of claim 19, wherein said means for introducing fluid include reservoirs.

25 27. The device of claim 26, wherein electrodes are disposed in the reservoirs.

28. A method for controlling sample dispersion and cross contamination of microchannels, comprising:

providing a microchannel system, the microchannel system comprising;

a substrate having at least two microchannels disposed thereon,

5 wherein the microchannels intersect to form at least one junction,

and means for introducing fluid into the microchannel system; and

modifying at least one microchannel to produce at least one region of reduced cross-sectional area proximate the junction.

29. The method of claim 28, wherein said step of modifying includes

10 reducing the geometric cross-sectional area, filling the microchannel with a porous material, or packing the microchannel with structured particles.

ABSTRACT

A method for controlling fluid flow at junctions in microchannel systems. Control of fluid flow is accomplished generally by providing increased resistance to electric-field and pressure-driven flow in the form of regions of reduced effective cross-sectional area within the microchannels and proximate a channel junction. By controlling these flows in the region of a microchannel junction it is possible to eliminate sample dispersion and cross contamination and inject well-defined volumes of fluid from one channel to another.

00969600 0969600

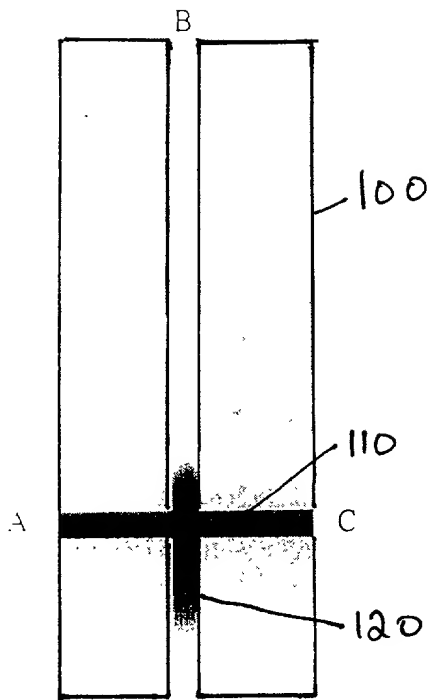


Fig. 1a

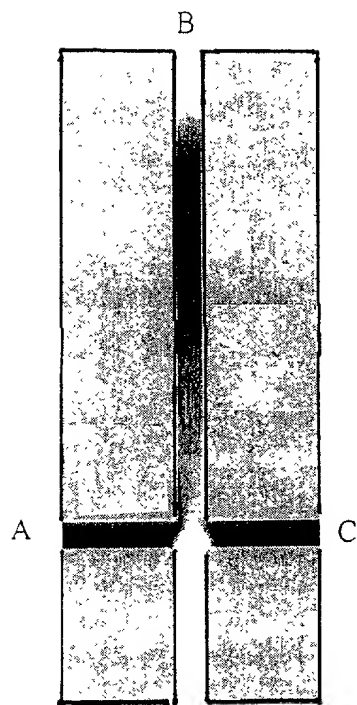


Fig. 1b

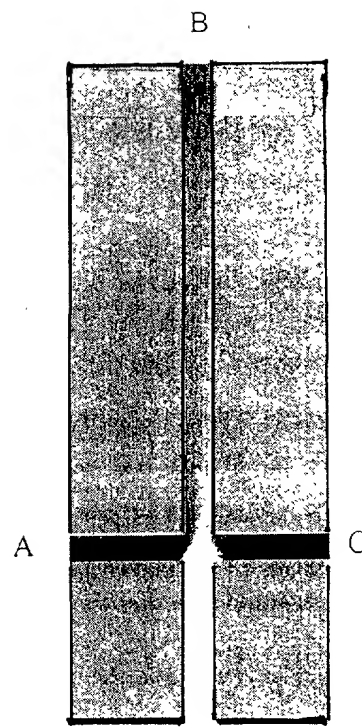


Fig. 1c

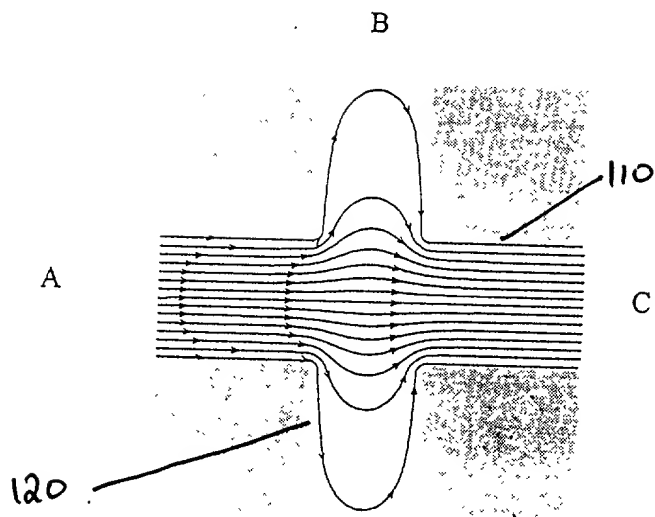


Fig. 2

009250-2989950

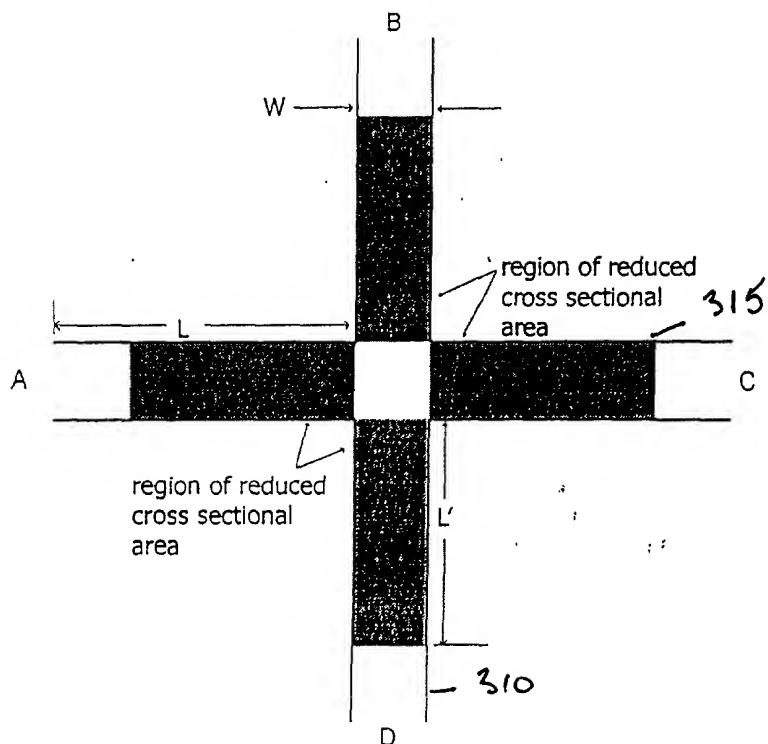


Fig. 3

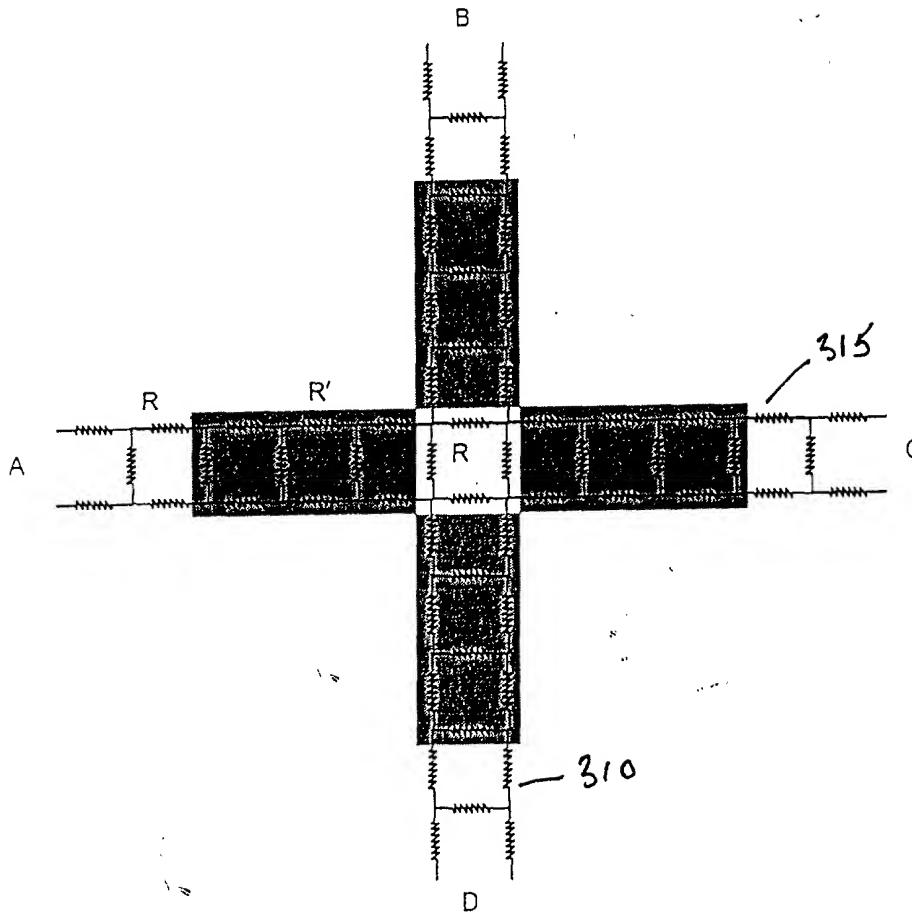


Fig. 4

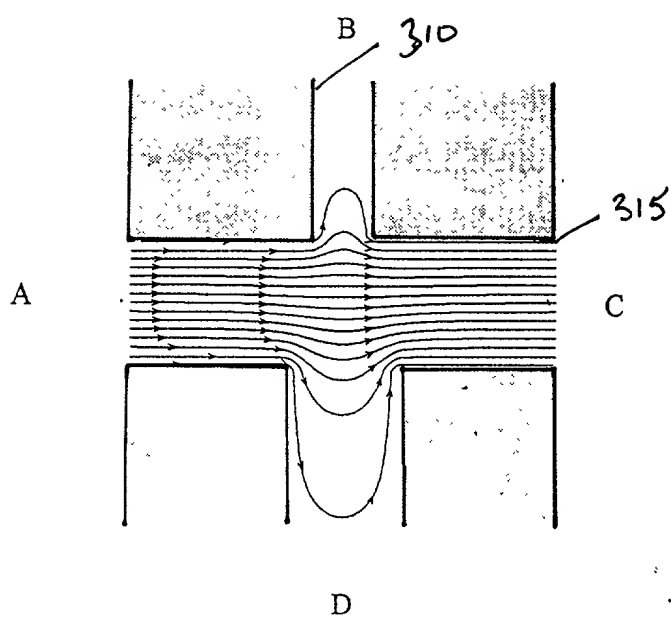
[illegible]

Fig. 5

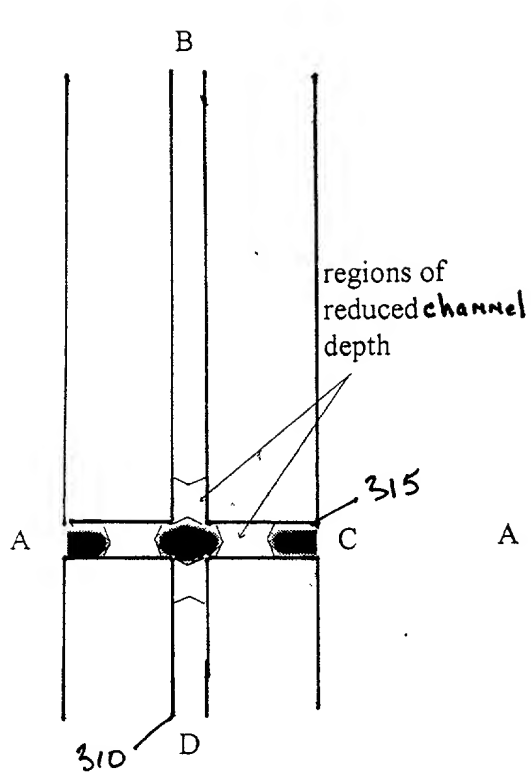


Fig. 6a

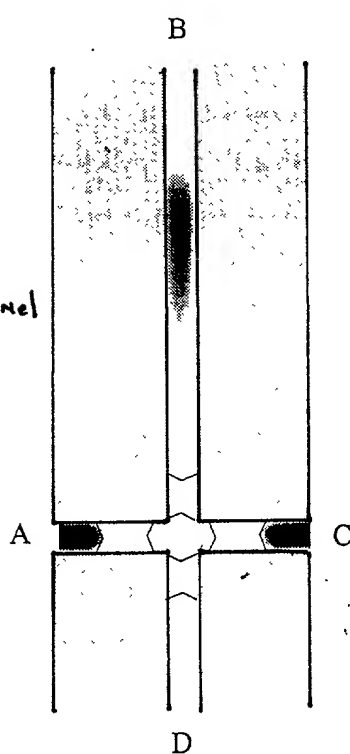


Fig. 6b

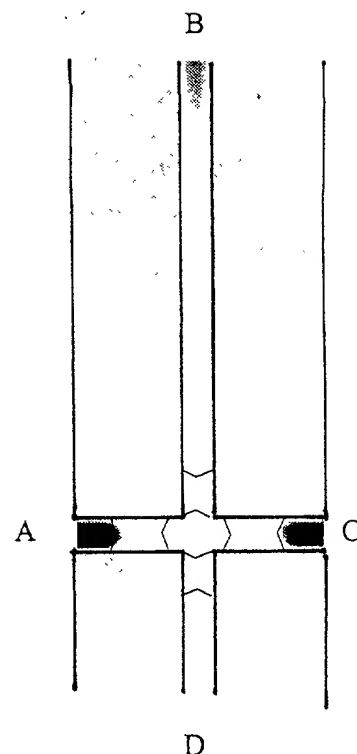


Fig. 6c

009260" 29859150

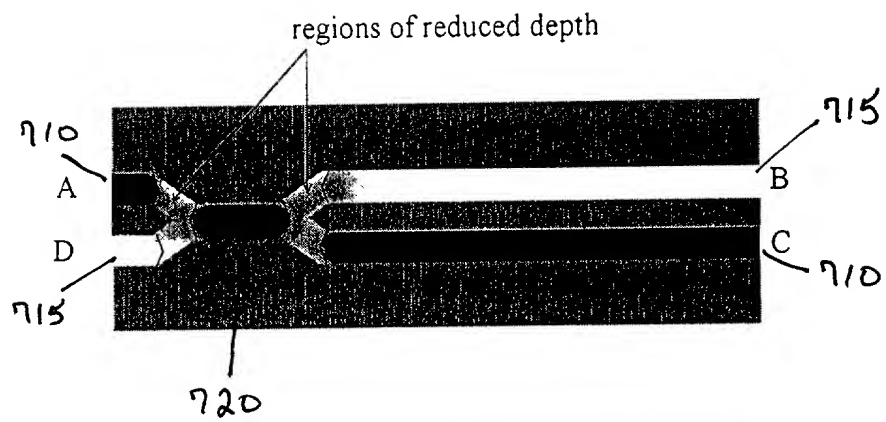


Fig. 7a

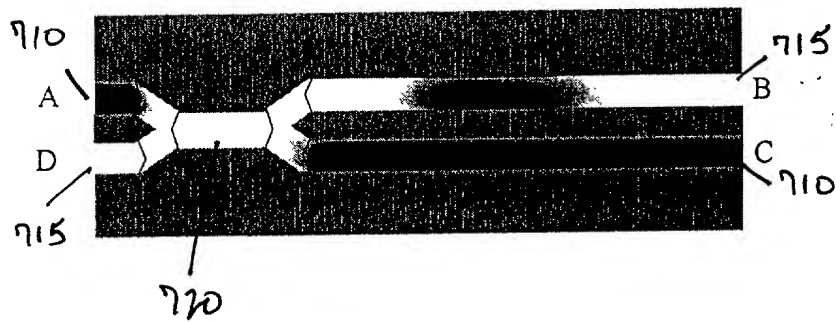


Fig. 7b